

# LSII: An Indexing Structure for Exact Real-Time Search on Microblogs

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**Abstract**—Indexing microblogs for real-time search is challenging given the efficiency issue caused by the tremendous speed at which new microblogs are created by users. Existing approaches address this efficiency issue at the cost of *query accuracy*, as they either (i) exclude a significant portion of microblogs from the index to reduce update cost or (ii) rank microblogs mostly by their timestamps (without sufficient consideration of their relevance to the queries) to enable append-only index insertion. As a consequence, the search results returned by the existing approaches do not satisfy the users who demand timely and high-quality search results.

To remedy this deficiency, we propose the *Log-Structured Inverted Indices (LSII)*, a structure for *exact* real-time search on microblogs. The core of LSII is a sequence of inverted indices with exponentially increasing sizes, such that new microblogs are (i) first inserted into the smallest index and (ii) later moved into the larger indices in a batch manner. The batch insertion mechanism leads to a small amortize update cost for each new microblog, without significantly degrading query performance. We present a comprehensive study on LSII, exploring various design options to strike a good balance between query and update performance. In addition, we propose extensions of LSII to support personalized search and to exploit multi-threading for performance improvement. Extensive experiments demonstrate the efficiency of LSII with experiments on real data.

## I. INTRODUCTION

Microblogging is an increasingly popular form of online communication where users broadcast short textual messages to the public or selected peers. Major microblogging sites, such as Twitter, have tremendous numbers of users that create hundreds of millions of microblogs per day [1]. Such a high rate of microblog generation poses significant challenge to real time search on microblogs, as conventional indices for keyword queries (e.g., inverted indices) are not designed to accommodate high-frequency updates. To address this challenge, two more advanced indexing structures, TI [2] and EarlyBird [1], have been proposed to reduce update overhead at the cost of *query accuracy*.

In particular, TI adopts a partial indexing approach that distinguishes *frequent* keyword queries from the *infrequent* ones. For any new microblog, if it is relevant to at least one frequent query, it is inserted into an inverted index immediately; otherwise, it is inserted to an append-only log, the content of which is periodically flushed into the inverted index. In addition, any keyword query is processed using the

inverted index without looking into the log, i.e., the results for an infrequent query may be *incomplete* if a microblog relevant to the query is recorded in the log but not the inverted index.

The above partial indexing approach of TI improves update efficiency as it reduces the number of microblogs that need to be processed in a real-time manner. However, it also causes two severe deficiencies as follows. First, as illustrated in existing study [3] on search logs, infrequent queries could account for up to 70% of the queries issued by the users. Hence, treating infrequent queries as second-class citizens could lead to negative search experience for a large number of users. Second, recent work [4] shows that the distribution of real-time queries on microblogs could change substantially within minutes, and hence, an infrequent query could become frequent even before a microblog relevant to the query is moved from the append-only pool of TI to the inverted index. In other words, TI may return incomplete results even for a query that is frequent.

In contrast to TI (which does not guarantee completeness of query results), EarlyBird [1] ensures that all new microblog can be searchable. Specifically, EarlyBird employs an append-only inverted index where each posting list stores microblogs in ascending order of their timestamps. Given a keyword query, EarlyBird scans each relevant posting list in descending order of the timestamps of the microblogs, after which it returns the top- $k$  microblogs that match the query, with newer microblogs being ranked higher than older ones. The append-only nature of EarlyBird allows new microblogs can be efficiently inserted. Nevertheless, as EarlyBird ranks query results based only by time, it overlooks several important factors that could significantly affect the ranking of results, such as the popularity of a microblog's author and the popularity of the microblog itself (i.e., the number of times that the microblog is replied or forwarded by other users). As a consequence, EarlyBird could miss important results for a query if the results are not ranked high enough in terms of time. This issue may be partially alleviated if we first retrieve a relatively large set of microblogs based on time, and then re-rank the microblogs in the set using a more comprehensive approach. However, this method still does not guarantee the completeness of query results.

**Contributions and Organization.** To address the deficiency of the existing methods, we propose the *Log-Structured In-*

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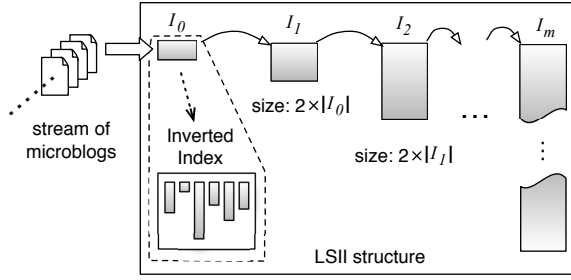


Fig. 1. The LSII Structure

verted Indices (LSII), an indexing method that returns *exact* results for real-time search on microblogs. LSII is similar in spirit to the *Log-Structured Merge-Tree* [5]: It contains a sequence of inverted indices  $I_0, I_1, \dots, I_m$  with exponentially increasing sizes, as illustrated in Figure 1. When a new microblog arrives, it is first inserted into the smallest inverted index  $I_0$  – this incurs little overhead given the tiny size of  $I_0$ . When the number of microblogs indexed by  $I_0$  exceeds a certain threshold, we merge the entries of  $I_0$  into  $I_1$ , and we empty  $I_0$ ; in turn, the entries in  $I_1$  are flushed into  $I_2$  when  $I_1$  gets to a certain size, and so on. This considerably reduces the amortized update cost per microblog, since (i) each microblog can be only involved in a small number of index mergers, and (ii) the index mergers can be performed in a much more efficient manner than inserting all microblogs into an inverted index one by one. In addition, LSII also provides accurate and timely answers to keyword queries. Each inverted index maintained by LSII records not only the timestamp of each microblog but also other relevant information that may affect the ranking of query results. During query processing, all inverted indices are scanned simultaneously using the Threshold Algorithm [6] to efficiently compute an answer set that takes into account both the microblog timestamps and other relevant factors.

We present a comprehensive study on the formulation and extensions of LSII. In particular, in Section IV, we explore various design options of LSII to strike a good balance between query and update performance. In Section V, we propose an extension of LSII for *personalized search*, i.e., real-time search on the microblogs posted by a selected set of users. Such queries are of practical importance as users of microblogging services are usually interested only in the microblogs posted by their friends or celebrities. In Section VII, we devise a multi-threading approach that enables LSII to parallelize query and update processing, so as to reduce system response time. Finally, in Section IX, we present extensive experimental results that demonstrate the superiority of LSII over several alternative solutions.

## II. PROBLEM FORMULATION

Assume that we have a microblogging server that receives a finite stream of tuples in increasing order of timestamps, such that each tuple represents either a microblog or a query. Each microblog is defined as a multi-set of words (referred

to as *terms*) from an alphabet  $\Omega$ . A microblog  $d$  can be the *descendant* of another microblog  $d'$  with a smaller timestamp, i.e.,  $d$  replies or forwards  $d'$ .

A query  $q$  is defined as a set of terms along with a positive number  $k_q$ . It asks for  $k_q$  microblogs that are not only relevant to the query terms but also *fresh*, i.e., the timestamps of the retrieved microblogs should be smaller than and close to the timestamp of  $q$ . We emphasize on freshness (in addition to relevancy), as users of microblogging service are generally more interested in the latest available microblogs, e.g., the microblogs talking about breaking news [1], [4]. Accordingly, we propose to rank each microblog  $d$  with a score function in the following form:

$$f(d, q) = w_1 \cdot sig(d) + w_2 \cdot sim(d, q) + w_3 \cdot fresh(ts_d, ts_q) \quad (1)$$

such that

- 1)  $w_1 + w_2 + w_3 = 1$  and  $w_1, w_2, w_3 > 0$ ,
- 2)  $sig(d)$  quantifies the *significance* of  $d$ , e.g.,  $d$  is considered significant if it is posted by a famous user or is replied or forwarded a large number of times,
- 3)  $sim(d, q)$  measures the relevance of  $d$  to  $q$ ,
- 4)  $fresh(ts_d, ts_q)$  gauges the freshness of  $d$  with respect to  $q$  based on  $d$ 's timestamp  $ts_d$  and  $q$ 's timestamp  $ts_q$ .

In other words,  $f(d, q)$  is a linear function of  $d$ 's scores in terms of its significance, freshness, and relevance to  $q$ . This formulation of  $f(d, q)$  generalizes several score functions used in previous work [7], [8].

Our solution is not specific to the definitions of  $sig(d)$  and  $fresh(ts_d, ts_q)$ , as long as  $fresh(ts_d, ts_q)$  is a monotonically decreasing function of  $ts_q - ts_d$ . In our experiments, we compute  $sig(d)$  using the algorithm adopted in TI [2] (see Section VIII for details), and we follow previous work [9] to set  $fresh(d, q) = e^{c \cdot (ts_d - ts_q)}$ , where  $c$  is a constant deciding how fast the freshness of  $d$  degrades with time.

On the other hand, we consider that  $sim(d, q)$  equals the *cosine similarity* between  $d$  and  $q$ , as suggested in previous work [2]. Specifically, let  $t_i$  ( $i \in [1, |\Omega|]$ ) denote the  $i$ -th term in the alphabet  $\Omega$ , and let  $v_d$  and  $v_q$  be two vectors with length  $|\Omega|$ , such that  $\|v_d\|_1 = \|v_q\|_1 = 1$  and the  $i$ -th element in  $v_d$  (resp.  $v_q$ ) quantifies the importance of  $t_i$  in  $d$  (resp.  $q$ ). Then,

$$sim(d, q) = v_d \cdot v_q. \quad (2)$$

We refer to  $v_d$  (resp.  $v_q$ ) as the *term vector* of  $d$  (resp.  $q$ ), and we use  $v_d[t]$  (resp.  $v_q[t]$ ) to denote the element in  $v_d$  (resp.  $v_q$ ) that corresponds to a term  $t$ . In our experiments, we compute the term vectors based on the tf-idf model [10].

In addition, we require that only microblogs  $d$  with  $sim(d, q) > 0$  can be returned as query results, so as to avoid giving completely irrelevant answers to users' queries. This implies that  $d$  and  $q$  should have at least one common term.

In summary, each query  $q$  asks for the  $k_q$  microblogs  $d$  that maximizes  $f(d, q)$  among those microblogs with timestamps smaller than  $ts_q$  and with  $sim(d, q) > 0$ . Our objective is to minimize the total time required to process the stream of tuples

TABLE I  
TABLE OF NOTATIONS

Notation	Description
$t \in \Omega$	a term $t$ in an alphabet $\Omega$
$d$	a microblog consisting of terms in $\Omega$
$q$	a query consisting of terms in $\Omega$ and a positive number $k_q$
$k_q$	the number of microblogs that $q$ asks for
$ts_d, ts_q$	the timestamps of $d$ and $q$ , respectively
$v_d, v_q$	the term vectors of $d$ and $q$ , respectively
$v_d[t]$	the element in $v_d$ that corresponds to a term $t$
$v_q[t]$	the element in $v_q$ that corresponds to a term $t$
$f(d, q)$	the ranking function that computes the score of $d$ with respect to $q$ (see Eqn. 1)
$sig(d)$	the significance score of $d$
$sim(d, q)$	the cosine similarity between $d$ and $q$ (see Eqn. 2)
$fresh(ts_d, ts_q)$	the freshness score of $d$ with respect to $q$
$I_i (i \in [0, m])$	the inverted indices in LSII
$\tau_i (i \in [0, m])$	the maximum number of microblogs indexed by LSII

received by the server. We assume that the server has sufficient memory to accommodate all indices built on the microblogs, as is the case for modern search engines [1]. For ease of exposition, we also assume that the timestamp, term vector, significance score of each microblog are fixed, and we will discuss how this assumption can be removed in Section VI. Table I lists the notations that we will frequently use.

**Remark.** The above problem formulation assumes that tuple stream is finite, while in practice the number of microblogs received by the server increases monotonically with time. However, as users are generally not interested in *stale* microblogs, the server only needs to retain the microblogs whose timestamps are larger than a certain threshold. For example, Twitter only indexes 6-9 days' microblogs [11]. Our solution for finite tuple streams can be easily adopted to handle this case.

### III. FIRST-CUT SOLUTIONS

Before presenting our solution, we first discuss the possibility of using conventional inverted indices for real-time search on microblogs. Given a set  $D$  of documents, an inverted index on  $D$  consists of a hash table and a set of *posting lists*. Each posting list corresponds to a term  $t$  in the alphabet  $\Omega$ , and it contains an entry for each document in which  $t$  appears. The hash table, on the other hand, maps each term to its corresponding posting list. Given the posting lists and the hash table, if we are to retrieve the  $k_q$  documents with highest cosine similarity to a query  $q$ , we can first use the hash table to locate the set  $S$  of posting lists corresponding to terms in  $q$ , and then we scan the entries in those posting lists to identify the relevant documents. The efficiency of the scanning process depends on the order of entries in each posting list, as will be explained shortly. For convenience, we say that posting lists in  $S$  are *relevant* to  $q$ .

#### A. Append-Only Approach

To adopt an inverted index for microblog search, the simplest approach (as adopted in EarlyBird [1]) is to treat each microblog  $d$  as a document, and sort the entries in each posting list in ascending order of the corresponding microblogs' timestamps. This approach is highly efficient in terms of microblog insertions, as new microblogs can be easily appended to the ends of posting lists without affecting the ordering of entries. In terms of query efficiency, however, the aforementioned approach is rather unfavorable. To explain this, recall that our ranking function  $f(d, q)$  evaluates a microblog  $d$  based on three factors: its significance  $sig(d)$ , relevance  $sim(d, q)$ , and freshness  $fresh(d, q)$ . If the entries in a posting list are sorted in ascending order of timestamps, the corresponding microblogs would be in ascending order of freshness, regardless of their significance or relevance. In other words, the entry order does not provide any hint on the overall score of each microblog. As a consequence, when answering a query  $q$ , we have to examine all entries in all posting lists relevant to  $q$ , since the omission of any entry may render the query results incomplete. This leads to significant query overhead.

#### B. Triple-Posting-List Approach

To improve query efficiency, a natural idea is to extend the inverted index to incorporate information about the significance and relevance of microblogs. In particular, we can maintain three posting lists for each term  $t$ , such that (i) the first and second lists sort microblogs in descending order of their timestamps and significance scores, respectively, and (ii) the third list arranges the microblogs  $d$  in descending order of  $v_d[t]$ , i.e. the weight of  $t$  in the term vector of  $d$ . Such entry ordering makes it possible to compute query answers without traversing all entries in the relevant posting lists. For example, if we have visited the first  $x$  entries in a posting list that records significance scores, and the  $x$ -th entry has a significance score  $y$ , then we know for sure that the significance scores of the unvisited entries in the posting list are upper-bounded by  $y$ . By combining the score upperbounds from all relevant posting lists, we can derive (based on Equation 1) an upperbound of  $f(d, q)$  for any unseen microblog  $d$ . If this upperbound is smaller than the scores of the top- $(k_q)$  microblogs that we have examined, then we can stop traversing the posting lists without missing query results.

More specifically, we process each query  $q$  with the Threshold Algorithm (TA) [12], a standard technique for top- $k$  queries. Given  $q$ , TA first identifies the three posting lists corresponding to each term in  $q$ . This results in  $3 \cdot |q|$  posting lists, where  $|q|$  denotes the number of terms in  $q$ . After that, TA traverses the posting lists in an iterative manner. In the  $i$ -th iteration, TA examines the  $i$ -th microblog  $d$  in each of the  $3 \cdot |q|$  posting lists, and computes  $d$ 's overall score  $f(d, q)$ . A microblog would be retained in a candidate pool if its overall score ranks among the top- $(k_q)$  microblogs found in the first  $i - 1$  iterations.

In addition, the  $i$ -th iteration of TA also derives an upperbound  $sig_i^\top$ ,  $sim_i^\top$ , and  $fresh_i^\top$  for the significance, relevance, and freshness scores of any unseen microblog. In particular,

$$sig_i^\top = \max_{d \in D_i} \{sig(d)\}, \quad (3)$$

where  $D_i$  contains the  $i$ -th microblog in each of the  $|q|$  posting lists sorted by significance scores. Similarly,

$$fresh_i^\top = \max_{d \in D'_i} \{fresh(ts_q - ts_d)\}, \quad (4)$$

where  $D'_i$  contains the  $i$ -th microblog in each of the  $|q|$  posting lists sorted by timestamps. Furthermore,

$$sim_i^\top = \sum_{t \in q} v_{di}[t] \cdot v_q[t], \quad (5)$$

where  $v_{di}$  denotes the term vector of the  $i$ -th microblog in the posting list storing term weights corresponding to  $t$ . Given  $sig_i^\top$ ,  $sim_i^\top$ , and  $fresh_i^\top$ , TA computes the upperbound of the overall score of any unseen microblog based on Equation 1. If the upperbound is no better than the scores of the microblogs in the candidate pool, then TA terminates and returns the content of the candidate pool as the final results.

In summary, by maintaining sorted posting lists for microblogs' significance, relevance, and timestamps, we can achieve efficiency in processing real-time search. For convenience, we refer to this indexing approach as the *Triple-Posting-List (TPL)* approach. Although TPL is efficient in terms of query processing, it causes much update overhead, as we need to retain the sorted order of posting lists under frequent microblog insertions. One may attempt to address this issue by organizing each posting list into a main-memory B-tree (so as to reduce update cost), but it would significantly degrade query performance. To explain this, recall that the TA algorithm computes query results using linear scans on the posting list entries. When the entries are stored in a B-tree, the linear scan would be done at the leaf level of the tree. As the leaf-nodes of the B-trees are often not located in a contiguous memory space, the linear scan is likely to incur a large number cache line misses, leading to increased query processing time.

The deficiencies of TPL and the append-only approach demonstrate that conventional inverted indices fail to strike a balance between query and update efficiency for microblog search. This motivates our LSII structure which combines the advantages of TPL and the append-only method without suffering from their drawbacks, as will be elaborated in Section IV.

#### IV. LOG-STRUCTURED INVERTED INDICES

This section presents the Log-Structured Inverted Indices (LSII) for real-time search on microblogs. Section IV-A first explains the basic idea of LSII, and then, Sections IV-B and IV-C discuss the implementation details and design considerations.

##### A. Basic Idea

LSII consists of a sequence of inverted indices  $I_0, I_1, \dots, I_m$ , each of which indexes a disjoint subset of microblogs, as illustrated in Figure 1. The structure of  $I_0$  is identical to the append-only approach in Section III-A, i.e.,  $I_0$  contains one posting list for each term  $t$ , and the entries in the list are sorted in ascending order of timestamps. Meanwhile,  $I_i$  ( $i \in [1, m]$ ) has the same structure with TPL, i.e.,  $I_i$  maintains three posting lists for each term  $t$ , such that the first, second, and third lists sort microblogs  $d$  in descending order of  $sig(d)$ ,  $v_d[t]$ , and  $ts_d$ , respectively. We impose a threshold  $\tau_i$  ( $i \in [0, m]$ ) on the number of microblogs that  $I_i$  is allowed to index, and we set  $\tau_i = 2\tau_{i-1}$  ( $i \in [1, m]$ ), i.e., the maximum size of  $I_i$  is 2 times the maximum size of  $I_{i-1}$ .

Whenever a new microblog arrives, it is first inserted into  $I_0$ . This entails little overhead given the append-only nature of  $I_0$ . If  $I_0$  already reaches its maximum size before the insertion, we first merge the posting lists in  $I_0$  with the posting lists in  $I_1$ . After that,  $I_0$  becomes empty, and we will proceed to insert the new microblog. In general, if the number of microblogs indexed by  $I_i$  ( $i \in [0, m-1]$ ) reaches  $\tau_i$ , we combine the posting lists in  $I_i$  with those in  $I_{i+1}$ . When  $I_m$  becomes full, we create a new inverted index  $I_{m+1}$  with size threshold  $\tau_{m+1} = 2\tau_m$ , and we move all posting lists in  $I_m$  to  $I_{m+1}$ . Initially, when no microblog has arrived, we set  $m = 0$ , in which case LSII contains only one index  $I_0$ .

The aforementioned update strategy of LSII has several important implications. First, the timestamp of any microblog in  $I_i$  ( $i \in [1, m]$ ) is larger than the timestamp of any microblog in  $I_{i-1}$ . As a consequence, the microblogs in  $I_i$  have smaller freshness scores than those in  $I_{i-1}$ , which makes the microblogs in  $I_i$  less likely to be query results.

Second, as all microblog insertions are handled by  $I_0$ , the structure of the other indices  $I_1, \dots, I_m$  are usually unchanged (except when index mergers are performed). Therefore, we can implement the posting lists in  $I_1, \dots, I_m$  as sorted arrays, which ensures that linear scans of the posting lists would be efficient – this is crucial for the performance of our query algorithm (i.e., the TA approach). In addition, the sorted arrays also enable efficient index merger: merging any two indices in  $I_1, \dots, I_m$  would only incur linear cost, since we can combine two sorted arrays in linear time.

Third, the number of inverted indices in LSII (i.e.,  $m+1$ ) is  $O(\log n)$ , where  $n$  is the number of microblogs that has arrived. To understand this, recall that  $m = 0$  initially, and LSII would increase  $m$  (i.e., create a new index) only when  $I_m$  reaches its maximum size  $\tau_m$ . Therefore, if LSII contains an index  $I_m$ , then  $I_{m-1}$  must have become full at least once. This indicates that  $n \geq \tau_{m-1} = 2^{m-1}\tau_0$ , which leads to  $m+1 = O(\log n)$ .

Fourth, each microblog  $d$  can be involved in less than  $O(m)$  index mergers. To explain this, consider that  $d$  is currently indexed by  $I_i$ . Observe that  $I_i$  can be merged with  $I_{i-1}$  at most twice before it becomes full (since  $\tau_i = 2\tau_{i-1}$ ), after which it would be merged with  $I_{i+1}$ . Hence,  $d$  can be involved in a

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**Algorithm LSII-Query** ( $q, I_0, \dots, I_m$ )

1. let  $C$  be a candidate pool of size  $k_q$ ; set  $C = \emptyset$
  2. scan  $I_0$  to identify the  $k_q$  microblogs in  $I_0$  that with the largest overall score, and put them into  $C$
  3. let  $d^\perp$  be the microblog in  $C$  with the smallest overall score
  4. let  $j = 1$
  5. initialize  $m$  upperbounds  $ub_1 = ub_2 = \dots = ub_m = +\infty$
  6. while  $\max_i \{ub_i\} > f(d^\perp, q)$
  7.     for any  $i \in [1, m]$  such that  $ub_i > f(d^\perp, q)$
  8.     for the  $j$ -th microblog  $d$  in any posting list in  $I_i$  that is relevant to a term in  $q$  and contains at least  $j$  entries
  9.     if  $f(d, q) > f(d^\perp, q)$
  10.         remove  $d^\perp$  from  $C$  and insert  $d$  into  $C$
  11.         let  $d^\perp$  be the microblog in  $C$  with the smallest overall score
  12.     set  $ub_i$  to the upperbound of the overall score of any unseen microblog in  $I_i$  (based on Eqn. 1, 3-5)
  13.      $j = j + 1$
  14. return  $C$
- 

Fig. 2. The LSII-Query Algorithm

constant number of index merger before it is moved to  $I_{i+1}$ . Therefore, the total number of index mergers that involves  $d$  is  $O(m)$ . Given that the cost of each merger is linear to the number of microblogs in the merged indices, it can be verified that the amortized update cost for each microblog is  $O(m) = O(\log n)$ .

The above analysis shows that LSII can efficiently handle updates. Meanwhile, the query cost of LSII is also small. Given a real-time query  $q$ , LSII first scans through  $I_0$  to identify the  $k_q$  microblogs in  $I_0$  with the highest overall score, and puts those microblogs into the candidate pool. After that, LSII locates the posting lists in  $I_1, I_2, \dots, I_m$  that are relevant to the terms in  $q$ , and then LSII invokes the TA algorithm on the posting lists. In particular, the  $i$ -th iteration of TA examines the  $i$ -th microblog in each of the posting lists, computes their overall scores, and updates the candidate pool accordingly. For each  $I_i$  ( $i \in [1, m]$ ), TA also computes an upperbound of the overall score of any unseen microblog in  $I_i$ , based on Equations 1, 3, 4, and 5. If the upperbound for  $I_i$  is no more than the scores of the top- $(k_q)$  microblogs that TA has seen, then TA stops traversing the posting lists in  $I_i$  (the traversal on the other inverted indices may continue). When the traversal on all indices are terminated, TA returns the microblogs in the candidate pools as the final results. Figure 2 shows the pseudo-code of the query algorithm of LSII.

Compared with the TPL approach (which answers query with a single inverted index), LSII needs to traverse a larger number of inverted indices for query processing. Nevertheless, the query cost of LSII can still be smaller than that of TPL, due to two reasons. First, as we have mentioned, the microblogs in the larger indices in LSII (e.g.,  $I_m$ ) have small freshness scores (which in turn lead to small overall scores), and hence, the TA algorithm tends to terminate the traversal on those indices quite early. In other words, those indices do not entail much query overhead. Second, as the posting lists

in  $I_1, \dots, I_m$  are implemented as arrays, TA would perform much better with  $I_1, \dots, I_m$  than with TPL, whose posting lists cannot support efficient linear scan without incurring significant update overhead.

To sum up, LSII achieves a good balance between query and update efficiency, and hence, remedies the drawbacks of the append-only approach and TPL. In what follows, we will discuss the implementation details of LSII, and explores the possibility of alternative designs.

### B. Implementations

For each inverted index  $I_i$  ( $i \in [0, m]$ ), LSII maintains a hash table that maps the ID of a microblog  $d$  indexed by  $I_i$  to a triplet  $\langle sig(d), v_d, ts_d \rangle$ . This facilitates the computation of a microblog's overall score given its ID. Accordingly, each entry  $e$  in a posting list in  $I_i$  records only the ID of the microblog corresponding to  $e$ , so as to reduce space consumption.

To ensure that new microblogs can be efficiently inserted into  $I_0$ , we implement each posting list in  $I_0$  as a dynamic array  $A$  where each entry  $e$  records the ID of the microblog corresponding to  $e$ . Initially,  $A$  is allocated a small memory space of constant size. Whenever a new microblog is inserted to the posting list, its ID will be put into the first available entry in  $A$ . If  $A$  becomes full after the insertion, we allocate a new memory space that doubles the current size of  $A$ , and we move all elements in  $A$  to the new memory space. Meanwhile, the posting lists in  $I_1, \dots, I_m$  are implemented as static arrays, as explained in Section IV-A.

Whenever we need to merge two posting lists (during an index merger), we first use the hash table to obtain the microblogs corresponding to the entries in the posting lists. If the two posting lists are sorted (e.g., neither of the posting lists is from  $I_0$ ), the merger is performed with two linear scans on the microblogs. On the other hand, if one of the posting lists is not sorted in the desired order (i.e., it is from  $I_0$ ), then we first sort the entries in the posting lists, and then merge them with the entries from the other list.

### C. Design Considerations

As explained in Section IV-A, the posting lists in  $I_0$  are sorted by time only, which ensures efficient microblog insertion but requires us to scan all entries in all relevant posting lists when answering queries. We argue that the complete scans of relevant posting lists in  $I_0$  would not have a detrimental effect on query performance, as the number of microblogs indexed by  $I_0$  is small. But this leads to an interesting question: Would it be more beneficial if we adopt the TPL approach in  $I_0$  to maintain three sorted posting lists about the significance, term weights, and freshness of microblogs? At the first glance, this would lead to more efficient query processing (as we can apply the TA algorithm on  $I_0$  to answer queries), and the cost of maintaining sorted posting list (in face of frequent update) would not be substantial due to the small size of  $I_0$ .

As we observe in our experiments, however, adopting the TPL approach in  $I_0$  only increases update cost without improving query performance. To explain this, observe that

the microblogs indexed by  $I_0$  are the newest among the microblogs indexed by LSII. As a consequence, their freshness scores are high, due to which they tend to have large overall scores and are likely to be query answers. Therefore, even if we apply the TPL approach in  $I_0$  and employ the TA algorithm for query processing, we may still need to visit a large portion of the microblogs in  $I_0$  before the traversal on  $I_0$  can be terminated. This renders the query cost comparable to the case when the posting lists in  $I_0$  are sorted by time. Hence, we do not adopt the TPL approach in  $I_0$ .

The above analysis also sheds light on how we should set the size threshold  $\tau_0$  for  $I_0$ . As the microblogs with large timestamps are likely to be query results, we may as well put them all into  $I_0$  to reduce update cost without degrading query performance. This indicates that  $\tau_0$  should not be too small, so as to accommodate all reasonably new microblogs. On the other hand, if  $\tau_0$  is excessively large, then  $I_0$  may contain a large number of microblogs that are relatively new but not significant or highly relevant to users' queries, in which case  $I_0$  would cause considerable query overhead. In Section VII, we will discuss how we can dynamically tune  $\tau_0$  based on run-time statistics.

Finally, one may concern about the space consumption of  $I_1, \dots, I_m$  as each of them maintains three posting lists for each term. We argue that such space overhead is reasonable given that accurate query results can only be derived by taking into account the significance, relevance, and freshness scores of microblogs. In addition, the space cost of  $I_1, \dots, I_m$  may be reduced by compressing each posting list [13], [14] (as  $I_1, \dots, I_m$  are relatively static). A thorough treatment of this issue is beyond the scope of this paper.

## V. EXTENSION FOR PERSONALIZED SEARCH

In microblogging services, users are often interested only in the microblogs posted by a subset of other users, e.g., their friends and celebrities. For example, on average, a Twitter user only *follows* less than 40 other users (i.e., he/she is interested in less than 40 other users' microblogs). As such, it is useful to provide users *personalized* real-time search that processes queries based on the microblogs posted by a selected set of other users. More formally, given a query  $q$  and a set  $U$  of users, we aim to retrieve the  $k_q$  microblogs posted by the users in  $U$  that maximizes  $f(q, d)$ . To process such a query on LSII, a naive approach is to invoke the algorithm in Figure 2 with one modification: a microblog  $d$  can be put into the candidate result pool, only if  $d$  is posted by a user in  $U$ . This approach, albeit simple, is highly inefficient due to the large number of irrelevant microblogs visited during query processing. Motivated by this, we propose a *User-Specific Links (USL)* approach, which augments the posting lists in LSII with auxiliary information, such that we can traverse posting lists in a manner that avoids visiting any entries from irrelevant users.

In particular, USL augments LSII by (i) associating each posting list  $L$  with a hash table and (ii) adding a pointer to each entry in  $L$ . The hash table maps each user  $u$  to the

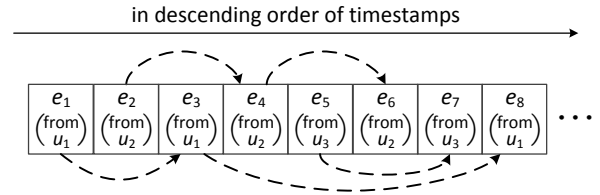


Fig. 3. A posting list with user-specific links

first entry in  $L$  that corresponds to a microblog posted by  $u$ . Meanwhile, the pointer added in each entry  $e$  points to the next entry  $e'$  in  $L$ , such that  $e$  and  $e'$  correspond to the same user. For example, if the entries in  $L$  is sorted in descending order of timestamps, then the hash table maps  $u$  to the newest entry from  $u$  that is indexed by  $L$ . In addition, if  $u$  has three entries  $e_1, e_2, e_3$  in  $L$ , such that  $e_1$  (resp.  $e_3$ ) has the largest (resp. smallest) timestamp, then  $e_1$  has a pointer to  $e_2$ , and  $e_2$  contains a pointer to  $e_3$ . Figure 3 shows an example. With such pointers, each posting list is conceptually divided into a number of sublists, such that each sublist links the microblogs from the same user in a sorted order. As such, we can perform personalized search efficiently by traversing only the sublists that correspond to the selected users.

More specifically, given a query  $q$  on the microblogs of a set  $U$  of users, we first retrieve the posting lists in  $I_0, \dots, I_m$  that correspond to the terms in  $q$ , and then we traverse the posting lists using the TA algorithm in Figure 3, with one modification: when the algorithm visits a posting list  $L$  in the  $i$ -th iteration, it would examine the  $i$ -th entry in  $L$  that corresponds to some user in  $U$ , without looking at irrelevant entries. For example, given the posting list in Figure 3, if we are to search on the microblogs posted by  $u_2$  and  $u_3$ , then algorithm would only visit entries  $e_2, e_4, e_5, e_6, e_7$  (as the other entries correspond to  $u_1$  instead of  $u_2$  or  $u_3$ ).

The above traversal approach is implemented as follows. Assume without loss of generality that the posting list  $L$  is sorted in descending order of timestamps. We first examine the hash table  $H$  associated with  $L$ , and use  $H$  to map each user  $u \in U$  to the first entry  $e_u$  in  $L$  corresponding to  $u$ . Then, we insert all those entries into a priority queue  $Q$  that sorts the entries in descending order of timestamps. After that, in each iteration, TA would (i) remove the top entry  $e$  in  $Q$ , (ii) visit the microblog corresponding to  $e$ , and then (iii) insert into  $Q$  the entry in  $L$  that is pointed to by  $e$ . For instance, assume that  $L$  is as illustrated in Figure 3, and  $U = \{u_2, u_3\}$ . We would first put into  $Q$  two entries  $e_2$  and  $e_5$ , as  $e_2$  (resp.  $e_5$ ) is the first entry in  $L$  from  $u_2$  (resp.  $u_3$ ). After that, the first iteration of TA would extract  $e_2$  from  $Q$  (as  $e_2$ 's timestamp is larger than  $e_5$ 's), visit the microblog corresponding to  $e_2$ , and then insert  $e_4$  into  $Q$  (as  $e_2$  points to  $e_4$ ). Next, the second iteration of TA would remove  $e_4$  from  $Q$  and insert  $e_6$  instead, so on and so forth.

Our traversal method takes  $O(\log |U|)$  time to retrieve an entry from a posting list  $L$  in each iteration of TA. This mild cost is justified when  $L$  contains a large number of entries irrelevant to the users in  $U$  (as those entries would be omitted by the algorithm). However, when the number of

entries in  $L$  is small or when  $U$  contains most of the users who have microblogs in  $L$ , then our method may be inferior to a sequential traversal of  $L$ . As a rule of thumb, we apply our method on  $L$  only when the number of entries in  $L$  is at least ten times the number of users in  $U$ .

It remains to clarify how we can construct the hash table  $H$  associated with each sorted posting list  $L$  and the user-specific link in each list entry. Assume without loss of generality that  $L$  is sorted. We start with an empty  $H$ , and scan  $L$  from the end to the beginning. For each entry  $e$  that we encounter, if  $e$  is from a user  $u$  that is not in  $H$ , then we insert  $u$  into  $H$  and map  $u$  to  $e$ . On the other hand, if  $u$  is already in  $H$ , then we retrieve the entry  $e'$  that  $H$  maps  $u$  to, and we let  $e$  point to  $e'$ . After that, we let  $H$  map  $u$  to  $e$  instead. A similar algorithm can also be used to construct user-specific links when merging two sorted posting lists.

## VI. HANDLING SCORE UPDATES

Our solution in the previous sections assumes that each microblog's timestamp, term vector, and significance score are fixed upon arrival. This assumption is partially true: In practice, the timestamps and term vectors of microblogs are generally static, but the significance score  $sig(d)$  of a microblog  $d$  may change over time. For example, when  $sig(d)$  is computed based on previous work [2] (as is the case in our experiments),  $sig(d)$  is determined by some static factors as well as the *popularity score* of  $d$ , which monotonically increases when there are new microblogs referring to  $d$ . In other words, the arrival of a new microblog may cause changes in some older microblogs' significance scores. Such score updates may pose a challenge to LSII, depending on the microblog  $d$  whose significance score is to be modified.

In particular, if  $d$  is a relatively new microblog indexed by  $I_0$ , then we only need to update the hash table associated with  $I_0$ , to change the significance score of the hash table entry that corresponds to  $d$ . The posting lists in  $I_0$  need not be changed, as they are sorted by timestamps and are independent of the microblogs' significance scores. On the other hand, if  $d$  is indexed by  $I_1, \dots, I_m$  (where one third of the postings are sorted by significance scores), then updating the associated hash tables alone would not be sufficient, as we need to maintain the sorted order of the posting lists. A naive solution is to directly re-arrange the posting list entries after the score update, but it would cause substantial overhead since the posting lists in  $I_1, \dots, I_m$  are implemented as sorted arrays.

To address this issue, we extend LSII by adding a *buffer*  $B$  to each posting list  $L$  in  $I_1, \dots, I_m$  that is sorted by significance scores of microblogs. Each entry in  $B$  is a pair  $\langle id, s \rangle$ , where  $id$  is the identifier of a microblog whose significance score needs to be changed, and  $s$  is the new significance score of the microblog. For efficiency, we index the buffer entries with a main-memory B-tree on their significance scores. For any microblog  $d$  in the posting list  $L$ , if we need to update its significance score from  $s_{old}$  to  $s_{new}$ , then we insert an entry  $\langle d, s_{new} \rangle$  into  $B$ , and we remove  $\langle d, s_{old} \rangle$  from  $B$  (if

it exists). In other words, we use the buffer to record any update in significance scores, without changing the structure of posting list  $L$  itself.

**Query processing with buffers.** With the buffers added, each query is processed with a slightly modified TA algorithm that takes into account the entries in the buffers. For example, let us consider a personalized query  $q$  on the microblogs posted by a set  $U$  of users. The algorithm first retrieves each posting list  $L$  that is relevant to  $q$ , and then checks whether  $L$ 's buffer is empty. If  $L$  has an empty buffer, then it would be traversed in the same way as in the algorithm in Section V, i.e., we will follow the user-specific links in  $L$  to examine only the entries in  $L$  relevant to the users in  $U$ .

On the other hand, if  $L$ 's buffer  $B$  is not empty, then before the first iteration of TA, we create a priority queue  $Q$  that sorts entries in descending order of significance scores, and we insert into  $Q$  the entry in  $B$  with the largest significance score. In addition, for each user  $u \in U$ , we retrieve the first entry in  $L$  that corresponds to  $u$ , and we put the entry into  $Q$ , too. After that, in each iteration of TA, we remove the top entry  $e$  in  $Q$ , and we examine the microblog corresponding to  $e$ . If  $d$  has been visited in the previous iterations of TA or if  $d$  is not posted by any user in  $U$ , then we ignore  $d$ . Otherwise, we compute the overall score of  $d$  (based on the information in the hash table that maps  $d$  to  $\langle sig(d), v_d, ts_e \rangle$ ), and we update the candidate pool accordingly. Next, if  $e$  is an entry in  $L$ , then we would retrieve the entry  $e'$  that  $e$ 's user-specific link points to, and we insert  $e'$  into  $Q$ . If  $e$  is from  $B$ , however, we will insert into  $Q$  the next unvisited entry  $e^*$  in  $B$ , i.e.,  $e^*$  has smaller (resp. larger) significance score than  $e$  (resp. any other unvisited entry in  $B$ ). In other words, we visit the entries in  $B$  and the relevant entries in  $L$  in descending order of their significance scores.

To explain why the above traversal algorithm is correct, let us consider a microblog  $d$  whose significance score is  $s_{old}$  when  $L$  is constructed. Assume that  $d$  corresponds to an entry  $e_L$  in  $L$ , and that  $sig(d)$  is later increased to  $s_{new}$ , which is recorded in an entry  $e_B$  in the buffer  $B$ . Depending on whether  $s_{new} > s_{old}$  or  $s_{new} < s_{old}$ , our algorithm may visit  $e_B$  or  $e_L$  before the other one. But regardless of whether  $e_B$  or  $e_L$  is visited first, our algorithm calculates the overall score of  $d$  according to the hash table that records  $\langle sig(d), v_d, ts_e \rangle$ , which is always updated whenever  $sig(d)$  changes. Therefore, the overall score of  $d$  would be accurate, which ensures the correctness of the query results. Note that, although we have focused our discussion on personalized search, our algorithm can be easily modified for non-personalized queries.

**Index merger with buffers.** Besides the query algorithm, the index merging method in LSII also needs to be slightly modified to incorporate updates in the buffers. Without loss of generality, assume that we are to merge two posting lists  $L_1$  and  $L_2$ , whose associated buffers are  $B_1$  and  $B_2$ , respectively. We treat  $B_1$  and  $B_2$  as two sorted lists, given that each of them is indexed by a B-tree. Accordingly, the merger of the posting lists can be treated as a merger of four sorted list  $L_1$ ,

$L_2$ ,  $B_1$ , and  $B_2$ , which can be done in linear time with some bookkeeping efforts to avoid inserting outdated entries into the merged results.

One natural question is: How would the buffers of the posting lists affect query and update performance? Apparently, if each buffer contains a large number of entries, then the query and update efficiency of LSII would be severely degraded. Fortunately, this issue is alleviated by the facts that (i) most microblogs are not referred to by other microblogs, and (ii) even if a microblog  $d$  is referred to by others, the references are usually made shortly after  $d$  is posted. For example, recent research [15] shows that only 29% of the microblogs on Twitter were referred to by other microblogs; in addition, 92.4% of the references were made within one hour after the original microblogs are posted. That is, only a small fraction of microblogs will receive updates in their significance scores, and most of the updates will concern the microblogs in  $I_0$  (as they have the largest timestamps), for which the updates can be processed efficiently without being put into a buffer. Therefore, the number of entries in the buffers of  $I_1, \dots, I_m$  are unlikely to be large, which ensures query efficiency.

## VII. CONCURRENCY MANAGEMENT

In the previous sections, we have shown that LSII ensures a small amortized update cost for each microblog. Nevertheless, the *worst-case* update cost for a microblog can still be high, since the insertion of a microblog may cause mergers of the inverted indices in LSII. Therefore, if we process both microblog insertions and queries with a single thread, then the queries that immediately follow a microblog insertion may need to wait for an extended period of time, which results in negative user experience. This section addresses the issue by presenting a multi-threaded approach that concurrently processes microblog insertions and queries to reduce system response time. In what follows, we assume that our system has three types of threads: a *reader thread* that processes queries, a *writer thread* that handles microblog insertions, and multiple *merger threads* that deal with index mergers. Our solution can be easily extended for the case of multiple reader threads.

**When  $I_0$  is NOT full.** Let us first consider the simple case when  $I_0$  is not full. In this case, a microblog insertion would not trigger any index merger, and hence, we only need to focus on the interaction between the reader and writer threads. To coordinate these two threads, we maintain a variable  $ts_{max}$ , which records the timestamp of the last microblog that has been inserted into LSII. (This approach is also adopted in EarlyBird [1].) With this variable, the writer thread inserts a microblog  $d$  into LSII in a straightforward manner: it first appends  $d$  to the relevant posting lists in  $I_0$  (recall that the posting lists in  $I_0$  are sorted in ascending order of time); after that, it sets  $ts_{max}$  to the timestamp of  $d$ .

Meanwhile, the reader thread processes any (personalized or non-personalized) query  $q$  as follows. It first reads  $ts_{max}$ , and then performs the queries on LSII using the algorithms presented in the previous sections, with one additional rule:

When it traverses a posting list in  $I_0$ , if it encounters a microblog whose timestamp equals  $ts_{max}$ , then the traversal will be terminated. As such, we ensure that the reader thread would not read the microblog  $d$  that is being written by the writer thread<sup>1</sup>.

**When  $I_0$  is full.** Now consider that  $I_0$  is full, in which case the insertion of a new microblog  $d$  triggers a merger of  $I_0$  and  $I_1$ . The basic idea of our solution for this case is to merge the indices in a manner that does not block the reader and writer threads. For this purpose, we create an index  $I'_0$  that has the same structure with  $I_0$  (i.e., each posting list in  $I'_0$  is also sorted in descending order of timestamps), and we also set size threshold of  $I'_0$  to  $\tau_0$ . We refer to  $I'_0$  as the *shadow* of  $I_0$ . Given a microblog  $d$  to be inserted, the writer thread would put  $d$  into  $I'_0$  (instead of  $I_0$ ), and then update  $ts_{max}$  accordingly. In other words, we use  $I'_0$  as a buffer to accommodate new microblogs that arrive during the merger of  $I_0$  and  $I_1$ .

To facilitate queries, we only impose shared locks on  $I_0$  and  $I_1$  when the merger is being performed (as will be clarified shortly). As such, the reader thread can still answer any query  $q$ , just that the query processing is under two additional conditions: First, all entries in both  $I_0$  and  $I'_0$  need to be examined, so that the new microblogs inserted during the merger are considered; Second, any traversal on  $I'_0$  would terminate upon visiting a microblog whose timestamp equals  $ts_{max}$ , so as to avoid conflicts with the writer thread.

The merging of  $I_0$  and  $I_1$  is handled by a merger thread. It first creates a new inverted index  $I'_1$  that has the same structure with  $I_1$ , i.e.,  $I'_1$  is a shadow of  $I_1$ . After that, it imposes shared locks on  $I_0$  and  $I_1$ , and merges the contents of  $I_0$  and  $I_1$  into  $I'_1$ . Once the merging process completes, the merger thread requests exclusive locks on  $I_0$ ,  $I'_0$ , and  $I_1$ . Upon acquiring the locks, the merger thread sets  $I_1 = I'_1$  and  $I_0 = I'_0$ , and frees the space previously allocated to  $I_0$  and  $I_1$ . In other words, the merger uses the shadow index  $I'_1$  to buffer the results of merging  $I_0$  and  $I_1$ , so as to allow queries to be performed on  $I_0$  and  $I_1$  during the merging process.

The merger of any other  $I_i$  and  $I_{i+1}$  ( $i \in [1, m - 1]$ ) are also performed by an individual merger thread, in an almost identical manner. Specifically, the merger thread starts by creating a shadow index  $I'_{i+1}$ . After that, it imposes shared locks on  $I_i$  and  $I_{i+1}$ , and it merges  $I_i$  and  $I_{i+1}$  into  $I'_{i+1}$ . During the merging process, the reader thread is allowed to perform queries using  $I_i$  and  $I_{i+1}$ . Finally, when the merger completes, the merger thread requests exclusive locks on  $I_i$  and  $I_{i+1}$ , and then it sets  $I_{i+1} = I'_{i+1}$  and empties  $I_i$ .

**When two mergers overlap.** It remains to clarify how two merger threads may interact with each other. Assume that, when we are about to merge  $I_i$  and  $I_{i+1}$  ( $i \in [0, m - 2]$ ), the

<sup>1</sup>In effect, this will exclude  $d$  from the query results for  $q$ , even if  $d$ 's timestamp  $ts_d$  is smaller than  $q$ 's timestamp  $ts_q$ . This deviates from our problem definition, which requires that all documents with timestamps smaller than  $ts_q$  must be considered for  $q$ . Nevertheless, such a discrepancy is acceptable in practice, as the difference between  $ts_d$  and  $ts_q$  is extremely small (given that inserting  $d$  into  $I_0$  takes negligible time), and hence, the two threads can run in parallel without interfering with each other.



index  $I_{i+1}$  happens to be full. In that case, we would need to merge  $I_{i+1}$  and  $I_{i+2}$  first, i.e., we need to combine all posting lists in  $I_{i+1}$  into  $I_{i+2}$ . After that, we can empty  $I_{i+1}$  and move all of  $I_i$ 's posting lists into  $I_{i+1}$ . Finally, we can empty  $I_i$ .

To parallelize the above two mergers, we create two merger threads  $\theta_1$  and  $\theta_2$ .  $\theta_2$  handles the merger of  $I_{i+1}$  and  $I_{i+2}$ , and it proceeds as a normal merger thread. In other words,  $\theta_2$  would create a shadow index  $I'_{i+2}$ , and would merge the information in  $I_{i+1}$  and  $I_{i+2}$  into  $I'_{i+2}$ .

At the same time,  $\theta_1$  creates a shadow index  $I'_{i+1}$ , and it requests a shared lock on  $I_i$ . After that, it copies all  $I_i$ 's posting lists into  $I'_{i+1}$ . During this process, the reader thread can still answer any query that involves  $I_i$ . Once the moving of posting lists completes,  $\theta_1$  checks whether  $\theta_2$  has finished the merger of  $I_{i+1}$  and  $I_{i+2}$ . If  $\theta_2$  has terminated, then  $I_{i+1}$  should have been emptied. In that case,  $\theta_1$  would impose exclusive locks on  $I_i$  and  $I_{i+1}$ , after which it would set  $I_{i+1} = I'_{i+1}$  and empty  $I_i$ . This completes the merger of  $I_i$  and  $I_{i+1}$ .

On the other hand, if  $\theta_2$  is still merging  $I_{i+1}$  and  $I_{i+2}$  when  $\theta_1$  finishes moving posting lists into  $I'_{i+1}$ , then  $\theta_1$  would request an exclusive lock on  $I_i$  only. Upon acquiring the lock,  $\theta_1$  empties  $I_i$  and makes  $I'_{i+1}$  visible to the reader thread. That is, whenever the reader thread processes a query, it would consider the entries in both  $I_{i+1}$  and  $I'_{i+1}$ . After that, when  $\theta_2$  completes the merger of  $I_{i+1}$  and  $I_{i+2}$ , it would set  $I_{i+1} = I'_{i+1}$ , as the posting list previously in  $I_{i+1}$  has been merged into  $I_{i+2}$ .

**Summary.** In summary, our multi-threaded approach uses a variable  $ts_{max}$  to ensure that the reader and writer threads do not interfere with each other, and we employ shadow indices to buffer any updates that occur during index mergers. This enables LSII to concurrently perform microblog insertions and queries, which improves system response time. There are only two circumstances when the reader or writer threads need to be blocked. First, as explained before, when a merger thread finishes merging two indices  $I_i$  and  $I_{i+1}$  into  $I'_{i+1}$ , it would impose exclusive locks on  $I_i$  and  $I_{i+1}$  to set  $I_{i+1} = I'_{i+1}$  and to empty  $I_i$ , during which the reader thread cannot access  $I_i$  and  $I_{i+1}$ . However, this would only block the reader thread for a tiny period of time, as it takes negligible cost to set  $I_{i+1} = I'_{i+1}$  and empty  $I_i$ . Note that the writer thread would not be affected in this case, since it never needs to visit an index that is involved in a merger.

Second, if the shadow index  $I'_0$  becomes full when  $I_0$  is being merged with  $I_1$ , then the writer thread needs to be suspended until  $I_0$  becomes empty (since  $I'_0$  cannot accommodate new microblogs any more). The reader thread would also need to be blocked, so as to ensure that it would not miss the microblogs that should have been inserted into LSII when the query arrives. This issue can be alleviated by setting  $\tau_0$  (i.e., the size threshold of  $I'_0$  and  $I_0$ ) to a reasonably large value, so that  $I'_0$  would not become full during the merger of  $I_0$  and  $I_1$ . In addition, we can also dynamically tune the value of  $\tau_0$  at runtime. For example, we may start LSII with a certain  $\tau_0$ , and whenever we witness that the reader and writer

threads are blocked as  $I'_0$  becomes full, then we can double the value of  $\tau_0$  and adjust the structure of LSII accordingly, so as to accommodate more updates in  $I'_0$  during the next merger of  $I_0$  and  $I_1$ . On the other hand, if we observe that  $I'_0$  is never more than half-full when  $I_0$  is being merged with  $I_1$ , then we can halve the value of  $\tau_0$ . As such, we can set  $\tau_0$  to a value adaptive to the frequency of microblog insertions.

## VIII. RELATED WORK

Microblog search is a relatively new research topic that only starts to attract research interests in recent years. Existing work on microblog search mainly focuses on three issues: (i) ranking microblogs with respect to queries [7], [8], [16], [17], (ii) indexing microblogs for efficient search [1], [2], [18], and (iii) analyzing the characteristics of microblog data and queries [4], [15], [19], [20]. Our work addresses the second issue, and is most related to previous work on the same topic, i.e., TI [2] and EarlyBird [1] (which have been discussed extensively in Section I), as well as the method by Yao et al. [18]. In particular, Yao et al.'s method is designed for retrieving *provenance information* from microblogs, such as the origin of a thread of microblog discussion, the evolvement of a microblog topic, etc. Although Yao et al. also consider efficiency issues, their approach cannot be applied for our problem as we focus on real-time microblog search instead of provenance retrieval.

As mentioned in Section II, the ranking function used in our paper is similar to the one adopted by TI [2]. Specifically, TI's ranking function is defined as follows:

$$f'(d, q) = (w_1 \cdot sig(d) + w_2 \cdot sim(d, q)) / (ts_q - ts_d),$$

where  $sim(d, q)$  is as defined in Equation 2, and  $sig(d)$  is computed as a weighted sum of two factors: (i) the popularity of the user who posted  $d$ , as inferred from the social network associated with the microblogging service, and (ii) the popularity of the topic thread that  $d$  belongs to, as inferred from the microblogs that refer to  $d$  and the microblogs that  $d$  refers to. Compared to TI's ranking function, our function provides more flexibility in modeling how fast the freshness of a microblog degrades with time.

Finally, our LSII structure borrows ideas from the *Log-Structured Merge-tree (LSM-tree)* [5]. In particular, The LSM-tree also contains a sequence of indices with exponentially increasing sizes, and it is designed to support efficient one-dimensional search under frequent tuple updates. Although LSM-tree has been adopted for various other applications (e.g., [21], [22]), to the best of our knowledge, we are the first to apply it for microblog search.

## IX. EXPERIMENTS

### A. Experimental Settings

We experimentally compare LSII with two methods: (i) the append-only approach in Section III-A, which minimizes update cost but incurs significant query overhead; (ii) the TPL approach in Section III-B (with a B-tree implementation), which improves over the append-only approach in terms of

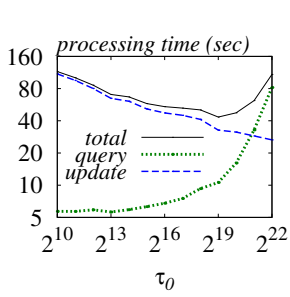


Fig. 4. LSII's performance vs.  $\tau_0$

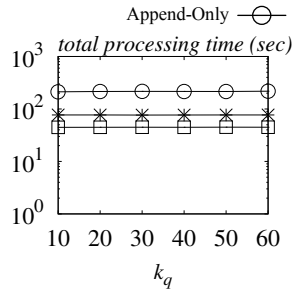


Fig. 5. Processing time vs.  $k_q$

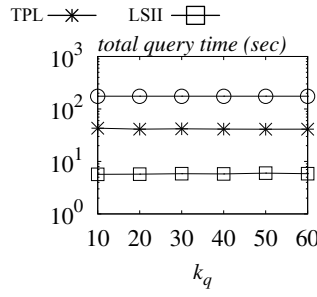


Fig. 6. Query time vs.  $k_q$

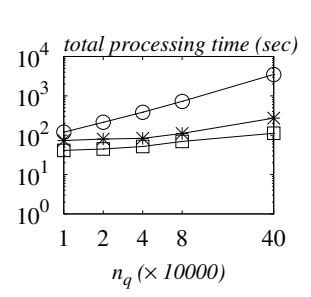


Fig. 7. Processing time vs.  $n_q$

TABLE II  
DEFAULT VALUES OF EXPERIMENTAL PARAMETERS

Parameter	Description
$w_1 = 2/7,$ $w_2 = w_3 = 5/14$	the weights used in the ranking function $f(d, q)$ (See Eqn. 1)
$n_q = 20,000$	the number of queries
$k_q = 10$	the number of microblogs asked for by each query
$ U  = 40$	the size of the user set associated with each personalized search

query performance. We use a dataset that contains 10.4 million microblogs posted by 260 thousand users on Twitter from March 26, 2012 to April 8, 2012. Totally, there are 2.6 million distinct terms in the dataset (excluding stop words), and the average number of terms in each microblog is 9.

We sort the microblogs by the time that are posted, and we divide the sorted sequence into two subsequences  $S_1$  and  $S_2$ , such that the  $S_1$  contains the first 10 million microblogs in the sorted sequence, and  $S_2$  contains the rest. In each experiment, we first insert the microblogs in  $S_1$  into each indexing structure, after which we measure the time required by the structure to process a mixed query and update stream generated from  $S_2$ . In other words, we evaluate the performance of an index after a sufficient number of microblogs have been inserted, i.e., after the index has become relatively stable.

The mixed query and update stream is generated by inserting queries into random positions in  $S_2$ . For experiments on non-personalized queries, each query inserted into  $S_2$  is created in a manner similar to previous work [2]. In particular, each query contains a number  $k$  of terms that are randomly selected from the 50,000 terms in the dataset with the highest tf-idf scores [10]. The value of  $k$  is a random variable that equals 1, 2, 3, 4, 5 with probabilities 50%, 25%, 15%, 7.5%, and 2.5%, respectively.

For experiments on personalized queries, each query added into  $S_2$  is generated based on a non-personalized queries explained above. Specifically, given a non-personalized query  $q$ , we transform it into a personalized query, by associating  $q$  with a set  $U$  of users. 50% of the users in  $U$  are randomly selected, while the other 50% are randomly chosen from the set of users who have posted some microblogs that contains at least one term in  $q$ . (This ensures that the terms in  $q$  are not completely irrelevant to the users in  $U$ .) The cardinality of  $U$  is varied in our experiments.

The number of queries inserted into  $S_2$  is controlled by a

parameter  $n_q$ . We only consider the case when  $n_q$  is smaller than 0.4 million (i.e., the number of microblogs in  $S_2$ ), as users of microblogging services usually post microblogs more frequently than submitting queries. All of our experiments are performed on a Windows machine with 48GB of RAM and a Intel Xeon 6-core CPU running at 2.4GHz. Table II shows the parameters of our experiments and their default values.

### B. Experimental Results for Non-Personalized Queries

In the first set of experiments, we study the performance of all methods in processing a mixed stream of non-personalized queries and updates in a single-threaded environment. In particular, we first investigate the effect of  $\tau_0$  on the performance of LSII. (Recall that  $\tau_0$  is the threshold on the number of microblogs that can be indexed by the index  $I_0$  in LSII.) Figure 4 shows the time required by LSII to process a mixed stream, with  $\tau_0$  varying from  $2^{10}$  to  $2^{22}$ . When  $\tau_0$  is small, the processing overhead of LSII is mainly due to updates, and it decreases with the increase of  $\tau_0$  until  $\tau_0 = 2^{19}$ . After that, LSII's computation cost is mostly due to queries, and it increases monotonically with  $\tau_0$ . This is consistent with our analysis in Section IV-C that (i) an excessively small  $\tau_0$  incurs substantial update overheads without reducing query cost, and (ii) too large a  $\tau_0$  would result in significant query costs, leading to degraded overall performance. Based on this result, we set  $\tau_0 = 2^{19}$  in the rest of our experiments.

Figure 5 (resp. Figure 6) illustrates the total processing time (resp. total query time) of all three indexing methods, with  $k_q$  (i.e., the number of microblogs that each query asks for) varying from 10 to 60. The append-only approach entails enormous overhead, since (i) it only maintains posting lists where entries are sorted by their timestamps, and hence (ii) it needs to scan the entries in all relevant posting lists during query processing. TPL considerably improves the append-only approach, as the three posting lists that it facilitates efficient query processing using the TA algorithm. Nevertheless, as discussed in Section III-B, the posting list entries in TPL are usually located in non-contiguous memory space, which is not ideal for the efficiency of sequential scan performed by TA. This explains why TPL is consistently outperformed by LSII by a large margin. In particular, LSII's running time is only one-fourth of TPL's.

Figure 7 (resp. Figure 8) shows the total computation time (resp. total query time) of all methods as a function of  $n_q$ , the number of queries in the mixed stream of queries and updates.

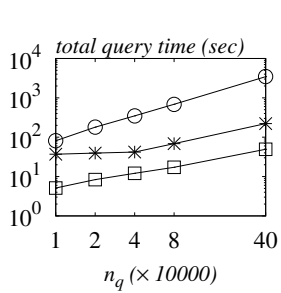


Fig. 8. Query time vs.  $n_q$

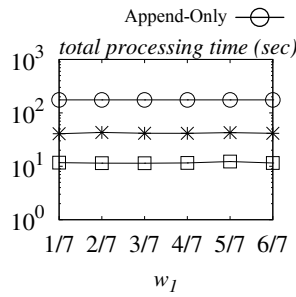


Fig. 9. Processing time vs.  $w_1$

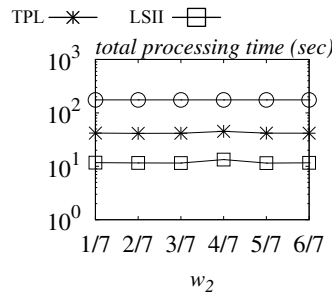


Fig. 10. Processing time vs.  $w_2$

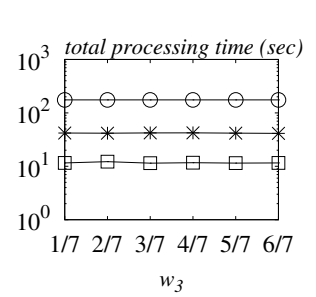


Fig. 11. Processing time vs.  $w_3$

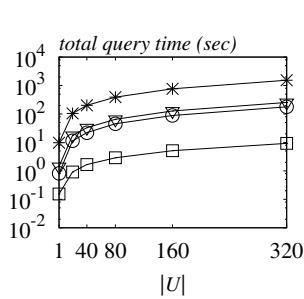


Fig. 12. Query time vs.  $|U|$

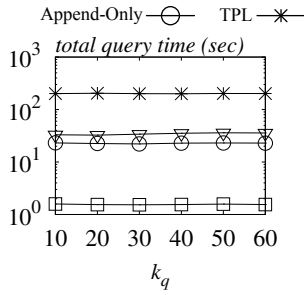


Fig. 13. Query time vs.  $k_q$

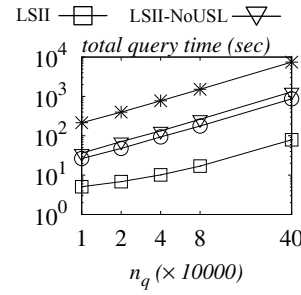


Fig. 14. Query time vs.  $n_q$

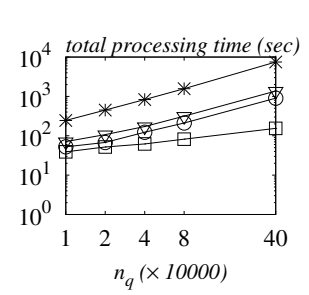


Fig. 15. Processing time vs.  $n_q$

(Recall that the number of updates are fixed to 0.4 million.) Again, LSII incurs much smaller overhead than the other two techniques in all cases, which demonstrates that LSII strikes a better balance between query and update costs. The running time of all methods increases with  $n_q$ , since a larger number of queries entail a higher computation cost.

In the next experiment, we vary  $w_1$  from  $1/7$  to  $6/7$ , setting  $w_2 = w_3 = (1 - w_1)/2$ . Figure 9 illustrates the processing cost of each method as a function of  $w_1$ . Observe that the relative performance of each method remains the same as in the previous experiments. This shows that the efficiency of LSII is not sensitive to the value of  $w_1$ . Figure 10 and 11 illustrate the results of two experiments where we vary  $w_2$  and  $w_3$ , respectively. Evidently, neither  $w_2$  nor  $w_3$  has a significant impact on the performance of LSII.

### C. Experimental Results for Personalized Queries

In the second set of experiments, we focus on the processing of personalized queries in a single-threaded environment. Figure 12 illustrates the time required by each algorithm to process the queries in the mixed query and update stream, varying  $|U|$ , the number of selected users associated with each query. (The update costs are omitted from the figure, so as to facilitate a clear comparison of query overheads.) In addition to TPL, LSII, and the append-only approach, we also include LSII-NoUSL, a revised version of LSII that does not incorporate user-specific links. Observe that LSII significantly outperforms all other methods, which shows the effectiveness of user-specific links in accelerating user-specific queries. On the other hand, LSII-NoUSL performs no better than the append-only approach – this indicates that, without user-specific links, LSII needs to examine a large number of non-relevant entries in its posting lists, which entails substantial overheads. Interestingly, the running time of TPL is even

higher than that of the append-only approach. The reason is that, for each term  $t$  in the query, TPL needs to traverse three posting lists, while the append-only approach only needs to scan one posting list for each term. For user-specific queries, TPL tends to examine a large portion of the posting lists before it can terminate, and hence, it can be inferior to the append-only approach in terms of query efficiency.

Figure 13 plots the query time of each method as a function of  $k_q$ . Again, LSII consistently incurs a smaller query cost than any other method does. The running time of LSII, LSII-NoUSL, and TPL increases with  $k_q$ , since a larger  $k_q$  requires each of those methods to examine more posting list entries. In contrast, the query time of the append-only approach does not change with  $k_q$ , since it scans all entries in the relevant posting lists, regardless of the value of  $k_q$ . Figure 14 repeats a similar experiment by varying the number of queries  $n_q$ , while Figure 15 illustrates the total processing time of each method as a function of  $n_q$ . The relative performance of each method remains the same.

### D. Experimental Results for the Multi-threaded Approach

In the final set of experiments, we compare the single-threaded and multi-threaded implementations of LSII in terms of efficiency. For the multi-threaded implementation, we adopt one reader thread, one writer thread, and multiple merger threads. For convenience, we use LSII<sup>+</sup> (LSII) to refer to the multi-threaded (single-threaded) implementation. Figure 16 illustrates total time required by LSII and LSII<sup>+</sup> in processing a mixed stream of non-personalized queries and updates, with  $\tau_0$  varying. Observe that the processing time of LSII<sup>+</sup> is considerably smaller than that of LSII, when  $\tau_0$  is not excessively large. On the other hand, when  $\tau_0$  is large, both LSII<sup>+</sup> and LSII could entail significant query overhead, and hence, the performance gap between LSII<sup>+</sup> and LSII becomes

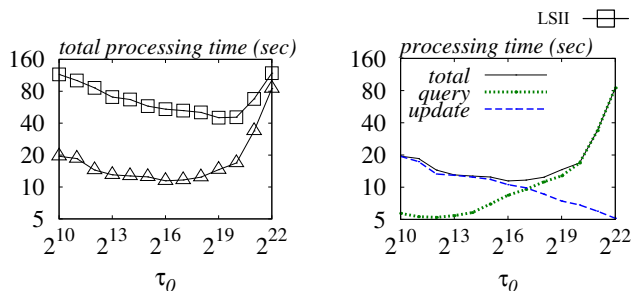


Fig. 16. Processing time vs.  $\tau_0$

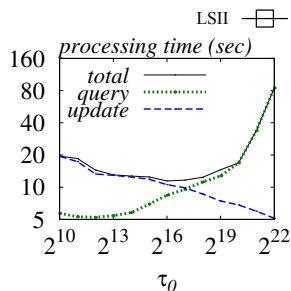


Fig. 17. LSII<sup>+</sup>'s performance vs.  $\tau_0$

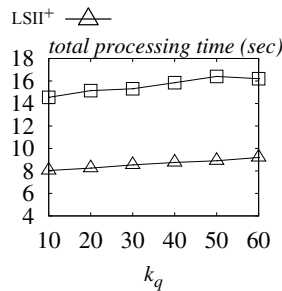


Fig. 18. Processing time vs.  $k_q$

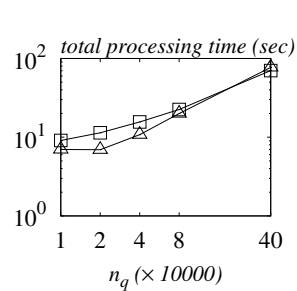


Fig. 19. Processing time vs.  $n_q$

smaller. Figure 17 illustrates the query, update, and total costs of LSII<sup>+</sup> when  $\tau_0$  varies. Observe that the total overhead of LSII<sup>+</sup> roughly equals the larger one of its query and update overheads, due to the effect of multi-threading.

Figure 18 plots the processing time of LSII<sup>+</sup> and LSII as a function of  $k_q$ . Observe that LSII<sup>+</sup> consistently outperforms LSII. Finally, Figure 19 illustrates the running time of LSII<sup>+</sup> and LSII, with a varying  $n_q$  (i.e., the number of queries in the mixed query and update stream). Notice that, as  $n_q$  increases, the performance of LSII<sup>+</sup> and LSII becomes similar. This is because, when  $n_q$  is large, the update cost in LSII<sup>+</sup> and LSII becomes relatively insignificant, and the query cost becomes the dominating factor. Since LSII<sup>+</sup> has only one reader thread, its query performance is not better than LSII, and hence, the running time of the two methods is comparable.

## X. CONCLUSION

In this paper, we study the problem of real-time search on microblogs under frequent updates. While previous work on this problem achieves efficiency at the cost of query accuracy, we show that it is possible to retrieve exact query results without incurring significant query and update overheads. The core of our solution is the Log-Structured Inverted Indices (LSII), which maintains a sequence of inverted indices with exponentially increasing sizes, such that new microblogs are (i) first inserted into the smallest index and (ii) later moved into the larger indices in a batch manner. The batch insertion mechanism leads to a small amortize update cost for each new microblog, without significantly degrading query performance. We present a comprehensive study on the design of LSII, and we demonstrate its efficiency with extensive experiments.

There are several interesting directions for future work. First, our paper has assumed all microblog insertions and queries are handled by a single server. We plan to investigate how our solution can be extended to the case of multiple servers. Second, it is interesting to explore how the posting list of LSII can be compressed without severely degrading query and update performance. Third, we would also like to extend LSII for more advanced types of queries, e.g., retrieval of provenance information from microblogs.

## XI. ACKNOWLEDGEMENT

This work was supported by the Nanyang Technological University under SUG Grant M58020016, by the Agency for Science, Technology, and Research (Singapore) under SERC

Grant 102-158-0074 and Grant 112-172-0010, and by the Sun Yet-Sen University under Natural Science Youths Funding Grant 62000-4103033.

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